

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. Cupo-19-3-5

RK OFFICE IFW/92B

APPLICANT: Agere Systems Inc.

FILING DATE: January 14, 2000

SERIAL NO.: 09/483,725

ART UNIT: 2637

TITLE: Frame Formatting Technique

EXAMINER: Corrielus, Jean B.

ASSISTANT COMMISSIONER OF PATENTS

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: USPTO; Commissioner for Patents; PO Box 1450; Alexandria, VA 22313-1450.

Date of Deposit: (0/8/04

Name: David L. Cargille

Signature

Date of Signature:

Dear Sir:

Enclosed herewith please find a "Statement Under 37 CFR 3.73(b)" and supporting documents.

Respectfully submitted,

By

David L. Cargille Attorney for Applicant

Reg. No. 46,600

Synnestvedt Lechner & Woodbridge LLP P.O. Box 592 Princeton, NJ 08542-0592 Tel (609) 924-3773 Fax (609) 924-1811



STATEMENT UNDER 37 CFR 3.73(b)

Application No./F	Patent No.: <u>09/483,725</u>		F	Filed/Issue Date: January 14, 2000
	E FORMATTING TECHNIC			
		<u> </u>		
	gere Systems Inc.	, a		·
(N	ame of Assignee)		(Type of Assignee	, e.g., corporation, partnership, university, government agency, etc.)
ates that it is:				
the assig	nee of the entire right, title, and	l interes	t; or	
The exter	nee of less than the entire right, not (by percentage) of its owners cation/patent identified above b	hip inter	est is	%
				ified above. The assignment was recorded in the United, or for which a copy thereof is attached.
PR				
	from the inventor(s), of the patent	application	on/patent ident	ified above, to the current assignee as shown below:
1. From:	Inventor(s)		To:	Lucent Technologies Inc.
The do	ocument was recorded in the United	States F	Patent and Trac	demark Office at
Reel ₋	010525 , Frame <u>0387</u>	, or for wh	nich a copy the	reof is attached.
	Lucent Technologies Inc.			
	ocument was recorded in the United			
	Agere Systems Guardian Co ocument was recorded in the United			
	, Frame			
[] Addition	onal documents in the chain of title	are listed	on a suppleme	ental sheet.
[NOTE: A separa	ments or other documents in the clate copy (i.e., a true copy of the originate with 37 CFR Part 3, if the ass	ginal assi	gnment docum	. ent (s)) must be submitted to Assignment Division in ed in the records of the USPTO. See MPEP 302.08]
	nose title is supplied below) is author	orized to	act on behalf o	f the assignee.
he undersigned (wh	40 -000			Date
he undersigned (wh	Signature			Batto
he undersigned (wh	Signature David L. Cargille			609-924-3773
he undersigned (wh	_	e		609-924-3773 Telephone number
he undersigned (wh	David L. Cargille	e		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXECUTION COPY

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

- A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;
- B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;
- C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

10/06/2004 13:44

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

Daniel P. McCurdy

President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

Fred M. Romano

President

Execution Copy

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)	ss:
COUNTY OF SOMERSET)	
personally came before me and a) this person signed, s Intellectual Property Business b) this Patent Assignment	on

Notary Public

TAMORA ANNE HANNA Notary Public of New Jersey My Commission Expirence in Hunterdon County My Commission Expires March 25, 2002

[Notarial Seal]

STATE OF FLORIDA)

S\$:

voluntary act and deed by virtue of authority from its Board of Directors.

COUNTY OF ORANGE)

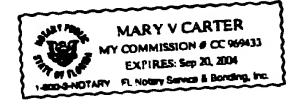
I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:

- a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp.; and
- b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.

Notary Public

My Commission Expires:

[Notarial Seal]



APPENDIX B (continued) Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Comerford 7-13-2	12/16/1998			09/212533
Comino 8-1	5/28/1997	6/13/2000	6075820	40/2 (2000)
Costigan 1-1	6/3/1998	7/18/2000	6090534	
Coult 13-27-2-4-8-2-1	10/28/1999			09/429327
Coult 8-13-4	4/29/1998		09/069396	
Crevasse 10-1-4-2	7/15/1999	8/1/2000	6097484	09/353860
Crevasse 1-10-4-1	10/8/1997 1/25/1999	3/7/2000 5/9/2000	6033293 6059638	00027092
Crevasse 11-24-10-3-6 Crevasse 13-35-19-20-13	7/8/1999	3/9/2000	6059636	09/237082 09/348926
Crevasse 14-38-24-17	8/19/1999			09/377580
Crevasse 15-3	8/27/1999			09/303829
Crevasse 16-42-28-21	7/20/1999			09/357405
Crevasse 17-44-30-23	7/20/1999			09/356716
Crevasse 18-49-35-28	10/22/1999			09/425433
Crevasse 19-50-36-29	9/28/1999			09/407463
Crevasse 20-51-37-30	10/1/1999			09/410548
Crevasse 21-58-44-37 Crevasse 22-60-45-38	10/8/1999 8/31/1999			09/415126 09/386262
Crevasse 22-00-45-36 Crevasse 23-64-49-42	11/23/1999			09/447884
Crevasse 24-67-51-120-44	10/26/1999			09/427306
Crevasse 25-71-55-48	10/22/1999			09/426226
Crevasse 26-73-56-49	4/21/2000			09/553931
Crevasse 27-74-57-50	4/21/2000			09/553938
Crevasse 28-75-58-122-51	3/29/2000			09/537132
Crevasse 29-76-59-123-52	2/1/2000			09/496115
Crevasse 30-28-11-5	11/29/1999			09/450485
Crevasse 31-79-31-60-55 Crevasse 3-21-1-10	1/11/2000			09/481236
Crevasse 3-21-1-10 Crevasse 32-80-61-56	10/7/1999 1/25/2000			09/414353 09/490912
Crevasse 33-81-62-57	3/21/2000			09/531250
Crevasse 34-82-63-58	1/5/2000			09/477833
Crevasse 36-84-65-60-2	5/3/2000			09/564659
Crevasse 38-86-67-62	3/22/2000			09/532688
Crevasse 39-87-68-63	9/19/2000		09/665279	
Crevasse 40-88-69-64	3/31/2000		50,774,040	09/541792
Crevasse 4-15 Crevasse 41-89-70-65-4	5/1/1998 7/27/2000		09/071012 09/82 6 523	
Crevasse 42-90-71-66	8/3/2000		09/632404	
Crevasse 44-92-68	8/29/2000		09/649654	
Crevasse 5-17-14-13-10	9/21/1999			09/400406
Crevasse 5-17-4	9/14/1998		09/152752	
Crevasse 6-18-5-1-3	8/28/1998		09/143010	
Crevasse 7-2-19-8	8/18/1998	6/27/2000	6080671	
Crevasse 8-21-8	12/14/1998			09/211267
Crisafulli 1-19 Crisafulli 3	11/16/1999 4/20/2000	•		09/441076
Criscuolo 1-30	7/7/2000			09/553282 09/611844
Crispell 2	5/6/1998	5/2/2000	6057700	03/01/10
Crispell 3	9/16/1998		09/154291	
Crispell 5-1	3/10/2000			60/188516
Cruceta 1-1-1	4/11/2000			09/547295
Cruceta 2-2-2	4/18/2000			09/551795
Cunningham 40-87	5/22/1998		09/083165	
Cupo 12-5-1-1 Cupo 1 <u>4-1</u> -7-3	3/30/1998 10/13/1998		09/049985	001470474
Cupo 19-3-5	1/14/2000			.09/170174 09/483725
Cupo 21-18-6	8/30/1999			09/386092
Curtin 10	11/22/1999			09/444818
Curtin 11-46-14	1/21/2000			09/489467
Curtin 12	4/11/2000			09/547381

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NO.747 P005/007

AGREEMENT REGARDING POWERS OF ATTORNEY

by and between

LUCENT TECHNOLOGIES INC.

bna

AGERE SYSTEMS INC.

Deted as of February 1, 2001

AGREEMENT REGARDING POWERS OF ATTORNEY

This Agreement is effective as of February 1, 2001 between LUCENT TECHNOLOGIES INC., a Delaware corporation ("LUCENT"), having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, for itself and on behalf of its Subsidiaries (each and all herein after "LUCENT") and AGERE SYSTEMS INC., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("AGERE").

WHEREAS, the Board of Directors of LUCENT has determined that it is in the best interests of LUCENT and its stockholders to separate LUCENT's existing businesses into two independent businesses; and

WHEREAS, as part of the foregoing, LUCENT has assigned certain United States and corresponding foreign patents and patent applications to AGERE, which patents and applications were filed in the name of LUCENT and various of its subsidiaries and predecessors, including AT&T Corp., a New York corporation and various of its subsidiaries; and

WHEREAS, in order to effect its rights under such patents and to prosecute such patent applications, including recording such assignments, AGERE representatives need Power of Attorney from LUCENT and predecessors of LUCENT.

THEREFORE, LUCENT agrees to provide AGERE employees and employees of AGERE affiliated companies with Powers of Attorney in the form attached to act for LUCENT, any of its wholly-owned subsidiaries, and any of such predecessors with respect to such issued patents and pending patent applications in the names of LUCENT, such subsidiaries or any of such predecessors.

AGERE agrees to use such Powers of Attorney only with respect to those certain patents and patent applications which were assigned to AGERE by LUCENT.

LUCENT TECHNOLOGIES INC.	AGERE SYSTEMS INC.		
By: Daniel P. McCurdy President - Intellectual Property Business	By: Accard deBlasi Vice President - Law		
Date: 130/01	Date: 1/30/01		

GENERAL APPOINTMENT OF AGENT

And

POWER OF ATTORNEY

Lucent Technologies Inc., for itself and its subsidiaries, and as successor in interest to patents of AT&T Corp. and its subsidiaries, hereby appoints:

Gerard A. deBlasi
Fred M. Romano
Scott W. McLellan
Richard J. Botos
Marie MacNichol
Geraldine Montaleone
Scott Rittman
Anthony Grillo
David Smith
Robert P. Marley
John Veachl
Mark Kurisko
Les Birnbaum

as agents and attorneys to act on its and on Lucent Technologies Inc.'s behalf before any competent National and International Authorities in connection with any and all patents and patent applications filed by Lucent Technologies Inc., its wholly-owned subsidiaries or its predecessors, or issued or pending in the name of Lucent Technologies Inc., or any of its wholly-owned subsidiaries or predecessors, with the power to further appoint agents, and grant powers of attorney in connection therewith.

Lucent Technologies Inc.

Daniel P. McCurdy
President - Intellectual Property Business

Date: 1300



The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION,

WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Darriet Smile Hindson Harriet Smith Windsor, Secretary of State

3268412 8100M

AUTHENTICATION: 1959517

020545223

DATE: 08-29-02

PAGE 05/11

STATE OF DELAWARE SECRETARY OF STATE DIVISION OF CORPORATIONS FILED 09:00 AM 08/29/2002 020545223 - 3268412

CERTIFICATE OF OWNERSHIP AND MERGER

OF

Agere Systems Guardian Corp. (a Delaware corporation)

INTO

Agere Systems Inc. (a Deleware corporation)

UNDER SECTION 253 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), DOES HEREBY CERTIFY:

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

> RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and Into Agere Systems Inc., a Deleware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.